

Original Research

The Risk Factors for Thyroid Immune-Related Adverse Events Requiring Medical Intervention

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Clinical Question Box

What are the risk factors for thyroid immune-related adverse events in patients who underwent immune checkpoint inhibitor treatment?

This study aimed to identify risk factors by comparing patients with Grade 1 and Grade 2 thyroid immune-related adverse events (irAEs). The analysis revealed that age and immune combination therapy are independent risk factors for the progression of Grade 2 hypothyroidism irAEs. Additionally, patients with breast cancer and mesothelioma demonstrated an increased risk of developing Grade 2 hyperthyroidism irAEs compared to those with lung, digestive, and urologic cancers.

Abstract

Introduction: Immune-related adverse events (irAEs) are frequently observed in patients undergoing immune checkpoint inhibitor (ICI) therapy, with thyroid irAEs being the most common among endocrine irAEs. According to the Common Terminology Criteria for Adverse Events, irAEs greater than Grade 1 typically require medical intervention. However, the risk factors associated with developing thyroid irAEs beyond Grade 1 remain unclear. **Methods:** A retrospective study was conducted in a community hospital. Medical records from the past ten years were extracted, and a multivariable analysis was performed comparing Grade 1 thyroid irAEs to those exceeding Grade 1. **Results:** Of the 384 patients who underwent ICI therapy, 134 (34.9%) developed thyroid irAEs, with 78 (20.3%) experiencing Grade 1 and 56 (14.6%) experiencing Grade 2. A total of 83 (21.6%) patients were diagnosed with hypothyroidism and 51 (13.3%) with hyperthyroidism. Multivariable analysis revealed that age and ICI combination therapy were risk factors for Grade 2 hypothyroidism irAE, with an Odds Ratio (OR) of 1.06 (95% Confidence Interval (CI): 1.01–1.13, $p = 0.019$) and OR 1.70 (95% CI: 1.08–2.75, $p = 0.022$), respectively. For hyperthyroidism, compared to digestive, lung, and urology cancers, breast cancer and mesothelioma showed an OR of 3.86 (95% CI: 1.61–9.25, $p < 0.001$). **Conclusion:** The management of hypothyroidism and hyperthyroidism during cancer treatment presents unique challenges. Chemotherapy regimen selection requires careful consideration of patient-specific factors such as age and type of cancer.

Keywords: ICI, irAE, hypothyroidism, hyperthyroidism, risk factor.

Introduction

Immune checkpoint inhibitors (ICIs) have substantially transformed the therapeutic landscape for various malignancies, introducing new treatment options while also presenting challenges in the form of immune-related adverse events (irAEs).^{1,2} These therapies involve antagonistic antibodies that block immune checkpoint molecules such as programmed cell death protein (PD-1), its ligand PD-L1, and T-lymphocyte-associated antigen 4 (CTLA-4). While ICIs are effective in achieving long-lasting tumor responses, they can also cause irAEs, affecting the gut, skin, endocrine glands, liver, lungs, and potentially any tissue.³ Endocrinologically, thyroid dysfunction is the most common endocrine irAE.⁴ Thyroid irAEs present a clinical paradox due to their frequent occurrence and varied manifestation, ranging from asymptomatic biochemical changes to clinically evident hypothyroidism or thyrotoxicosis. Destructive thyroiditis or hypothyroidism is observed in most cases, whereas hyperthyroidism is relatively less common. Most patients who develop destructive thyroiditis or hypothyroidism subsequently require thyroid hormone replacement therapy.⁵

The Common Terminology Criteria for Adverse Events (CTCAE) was used to evaluate irAEs.⁶ For Grade 1 irAEs, ICI treatment can generally continue with close monitoring. For Grade 2 irAEs, it is advisable to pause ICI treatment until symptoms improve to Grade 1 or lower.⁷ Various studies estimate that the overall incidence of ICIs-induced thyroid dysfunction for any grade was between 12% and 44%.^{8–10} However, the relative risk of Grade 3 to 5 hypothyroidism and hyperthyroidism was considered low, reported as 1.26 (95% confidence interval (CI) 0.83, 1.19) and 1.1 (95% CI 0.73, 1.68), respectively, compared with placebo.¹¹ Thyroid irAEs, particularly overt thyrotoxicosis, are associated not only with increased immune toxicity in other organ systems but also with longer progression-free and overall survival¹².

Risk factors associated with the development of irAEs include the type of ICI used, patient-specific genetic predispositions, pre-existing autoimmune conditions, and possibly the tumor type itself.¹³ These irAEs are distinct from those seen with traditional cancer therapies, often presenting later and lasting longer. IrAEs can affect any organ or system. While these effects are usually low-grade, treatable, and reversible, some can be severe and cause permanent issues¹⁴. For patients with thyroid irAE greater than Grade 1, medical intervention is necessary. Management typically involves pausing or stopping ICIs, corticosteroids, and other immunomodulatory agents, which should be used cautiously to minimize short-term and long-term complications. However, few studies have specifically examined the risk of thyroid irAE greater than Grade 1. This study aims to determine the real-world frequency of thyroid irAEs and examine the factors contributing to hypothyroidism and hyperthyroidism irAEs greater than Grade 1 in affected patients.

Methods

Study Design and Setting

This retrospective cohort study evaluated the medical records of inpatients admitted to a community-based hospital over a ten-year period, from January 2014 to July 2024. The institutional review board of Kanto Rosai Hospital approved the study (Reference number: KR2024-12), ensuring compliance with the ethical standards of the Declaration of Helsinki, as revised in Brazil in 2013.

Patient Selection

The patient selection process was conducted in two steps: First, medical records were identified displaying abnormal thyroid-stimulating hormone (TSH) values, ranging from 0.35 to 4.94 $\mu\text{IU/mL}$ from blood tests conducted over the past ten years. Second, among these patients, those who had been administered any of the following ICIs: atezolizumab, durvalumab, nivolumab, ipilimumab, tremelimumab, and pembrolizumab, were chosen for further analysis.

Definitions

Only five and two cases used the combination of nivolumab and ipilimumab, and tremelimumab and atezolizumab, respectively. Due to the small sample size, these were classified within the nivolumab

and atezolizumab groups. This study focused on four ICIs: atezolizumab, durvalumab, nivolumab, and pembrolizumab. Traditional cytotoxic agents were defined as chemotherapy, while molecular therapy was defined as tyrosine kinase inhibitors, vascular endothelial growth factor receptor inhibitors, etc. Thyroid dysfunction was graded using the CTCAE (version 5.0). Since no cases of thyroid irAE greater than Grade 2 were reported, the analysis compared differences between Grade 1 and Grade 2 thyroid irAEs. For patients previously diagnosed with thyroid dysfunction, the onset of thyroid irAEs was defined as the date of the first abnormal thyroid function test following the initiation of ICI therapy.

Inclusion and Exclusion Criteria

The inclusion criteria for the study were: adult cancer patients who received ICI treatment, underwent a comprehensive assessment of thyroid function including TSH, free triiodothyronine (FT3), and free thyroxine (FT4) levels during ICI therapy, and completed at least one full cycle of ICI therapy. Exclusion criteria consisted of patients with insufficient clinical data for accurate thyroid function assessment and those who withdrew consent for their data to be used in research.

Outcomes

The primary outcome was to delineate the risk factors associated with the development of thyroid irAEs, including both hyperthyroidism and hypothyroidism, following ICI treatment. The secondary outcomes were multifaceted and included the frequency and timing of the onset of Grade 1 and Grade 2 thyroid dysfunction, the duration conditions, and the frequency of combinations with other irAEs.

Statistical Analysis

Unless otherwise indicated, the results are presented as numbers and percentages or medians and interquartile ranges. Group comparisons were conducted using the Wilcoxon rank-sum tests. Factors potentially associated with thyroid irAEs were selected if their p-values in univariable analysis were below 0.2. The final variables were determined using the model with the minimum corrected Akaike Information Criterion (AICc) in the backward direction. In all instances, two-tailed p-values less than 0.05 were considered significant. Data analysis was performed using JMP software (version 17.0; SAS Institute, Cary, NC, USA).

Results

Overview

A total of 384 patients underwent ICI therapy, with 78 (20.3%) and 56 (14.6%) patients developing Grade 1 and Grade 2 thyroid irAEs, respectively (Table 1). A total of 83 (21.6%) patients were diagnosed with hypothyroidism and 51 (13.3%) with hyperthyroidism. The comparison between Grade 1 and Grade 2 thyroid irAEs reveals several significant differences. Patients with Grade 2 irAE tend to be older (median age 73 vs. 71, $p = 0.01$) and have a higher proportion of females (48.2% vs. 30.8%, $p = 0.04$). There are notable differences in cancer types, with more cases in Grade 2 classified as “others” (21.4% vs. 5.1%, $p = 0.031$). Combination therapies show a higher use of molecular agents in Grade 2 (25.0% vs. 11.5%, $p = 0.025$). No significant differences were found in cancer stages, lines of therapy, types of ICIs used, the presence of previous thyroid diseases, the duration of ICI treatment, or the onset time of irAEs.

Table 2 shows the TSH, FT3, and FT4 levels for hypothyroidism and hyperthyroidism. Among patients with hypothyroidism irAE, the TSH levels were higher in Grade 2 than in Grade 1, with medians of 7.90 $\mu\text{IU/mL}$ and 6.64 $\mu\text{IU/mL}$, respectively. In patients with hyperthyroidism irAE, TSH was lower in Grade 2 than in Grade 1, with medians of 0.25 $\mu\text{IU/mL}$ and 0.22 $\mu\text{IU/mL}$, respectively.

Hypothyroidism

Table 3 compares hypothyroidism irAEs between Grade 1 and Grade 2. Grade 2 patients were slightly older (median 74 years vs. 72.5 years, $p = 0.031$). The gender distribution was similar between grades, with the percentage of females being higher in Grade 2 (46.3% vs. 42.9%, $p = 0.75$). The distribution

Table 1. Comparison of factors affecting Grade 1 and Grade 2 irAEs

	Grade 1		Grade 2		P value
Age (years)	71	(62.5, 77.3)	73	(70, 78.8)	0.010
Female/Male	24/54	30.8%/69.2%	27/29	48.2%/51.8%	0.040
Cancer types					0.031
Digest	27	34.6%	18	32.1%	
Lung	25	32.1%	16	28.6%	
Urology	22	28.2%	10	17.9%	
Others	4	5.1%	12	21.4%	
Stage					0.447
II	4	5.1%	4	7.1%	
III	11	14.1%	12	21.4%	
IV	63	80.8%	40	71.4%	
Therapy lines					0.766
First	41	52.6%	33	58.9%	
Second	21	26.9%	13	23.2%	
Third or more	16	20.5%	10	17.9%	
ICIs					0.976
Atezolizumab	17	21.8%	12	21.4%	
Durvalumab	6	7.7%	5	8.9%	
Nivolumab	29	37.2%	19	33.9%	
Pembrolizumab	26	33.3%	20	35.7%	
Combination					0.025
Cytotoxic	21	26.9%	20	35.7%	
Molecular	9	11.5%	14	25.0%	
None	48	61.5%	22	39.3%	
Previous dysfunction					
Yes/No	19/59	24.4%/75.6%	14/42	25.0%/75.0%	0.932
Dysfunction types					0.023
Hypothyroidism	42	53.9%	41	73.2%	
Hyperthyroidism	36	46.2%	15	26.8%	
irAEs combined					
Yes/No	7/71	9.0%/91.0%	8/48	14.3%/85.7%	0.336
ICI duration (days)	178	(70, 360)	267	(106, 513)	0.528
irAEs onset (days)	30	(28, 143)	91	(62, 167)	0.116

Note: ICI: immune checkpoint inhibitors; irAE: immune-related adverse events; Others: breast 6 cases, head and neck 4 cases, uterine 4 cases, mesothelioma 2 cases.

of cancer types, cancer stages, lines of therapy, and types of ICIs used was comparable between the two grades. Combined therapies show higher cytotoxic and molecular agent usage in Grade 2. The presence of previous diseases and the combination of other irAEs were similar between the two groups. The duration of ICI treatment and the onset time of irAEs do not differ significantly between the two groups.

Hyperthyroidism

Table 4 compares the incidence of hyperthyroidism irAE between Grade 1 and Grade 2. Age and cancer stages were similar between the groups. Grade 2 patients had a higher proportion of females

Table 2. The median value of TSH and FT3, FT4

		Grade 1		Grade 2	
Hypothyroidism	TSH (μIU/mL)	6.64	(5.54, 9.16)	7.90	(6.16, 26.84)
	FT3 (pg/mL)	2.29	(1.89, 2.54)	2.05	(1.65, 2.46)
	FT4 (ng/dL)	0.91	(0.85, 1.04)	0.89	(0.74, 1.14)
Hyperthyroidism	TSH (μIU/mL)	0.25	(0.18, 0.32)	0.22	(0.03, 0.32)
	FT3 (pg/mL)	2.56	(2.37, 2.78)	2.24	(1.74, 2.68)
	FT4 (ng/dL)	1.06	(0.97, 1.14)	1.25	(0.99, 1.64)

Note: TSH: thyroid-stimulating hormone; FT3: free triiodothyronine; FT4: free thyroxine.

Table 3. Differences between Grade 1 and Grade 2 hypothyroidism irAE

	Grade 1		Grade 2		P value
Age (years)	72.5	(64, 77)	74	(70, 79.5)	0.031
Female/Male	18/24	42.9%/57.1%	19/22	46.3%/53.7%	0.75
Cancer types					0.424
Digest	18	42.9%	15	36.6%	
Lung	11	26.2%	14	34.2%	
Urology	11	26.2%	7	17.1%	
Others	2	4.8%	5	12.2%	
Stage					0.681
II	1	2.4%	1	2.4%	
III	7	16.7%	10	24.4%	
IV	34	81.0%	30	73.2%	
Therapy lines					0.776
First	21	50.0%	23	56.1%	
Second	12	28.6%	9	22.0%	
Third or more	9	21.4%	9	22.0%	
ICIs					0.748
Atezolizumab	10	23.8%	11	26.8%	
Durvalumab	4	9.5%	4	9.8%	
Nivolumab	19	45.2%	14	34.2%	
Pembrolizumab	9	21.4%	12	29.3%	
Combined					0.107
Cytotoxic	8	19.1%	14	34.2%	
Molecular	8	19.1%	11	26.8%	
None	26	61.9%	16	39.0%	
Previous diseases					0.752
Yes/No	10/32	23.8%/76.2%	11/30	26.8%/73.2%	
irAEs combined					0.968
Yes/No	5/37	11.9%/88.1%	5/36	12.2%/87.8%	
ICI duration (days)	198	61, 422	227	112, 510	0.749
irAEs onset (days)	29	11, 210	96	56, 163	0.833

Note: ICI: immune checkpoint inhibitors; irAE: immune-related adverse events; Others: head and neck 3 cases, uterine 3 cases, breast 1 case.

Table 4. Differences between Grade 1 and Grade 2 hyperthyroidism irAE

	Grade 1		Grade 2		P value
Age (years)	70.5	61, 79	72	67, 77	0.278
Female/Male	6/30	16.7%/83.3%	8/7	53.3%/46.7%	0.008
Cancer types					0.005
Digest	9	25.0%	3	20.0%	0.464
Lung	14	38.9%	2	13.3%	
Urology	11	30.6%	3	20.0%	
Others	2	5.6%	7	46.7%	
Stage					0.513
II	3	8.3%	3	20.0%	
III	4	11.1%	2	13.3%	
IV	29	80.6%	10	66.7%	
Therapy lines					0.725
First	20	55.6%	10	66.7%	
Second	9	25.0%	4	26.7%	
Third or more	7	19.4%	1	6.7%	
ICIs					0.086
Atezolizumab	7	19.4%	1	6.7%	
Durvalumab	2	5.6%	1	6.7%	
Nivolumab	10	27.8%	5	33.3%	
Pembrolizumab	17	47.2%	8	53.3%	0.791
Combined					
Cytotoxic	13	36.1%	6	40.0%	
Molecular	1	2.8%	3	20.0%	
None	22	61.1%	6	40.0%	0.114
Previous diseases					
Yes/No	9/27	25.0%/75.0%	3/12	20.0%/80.0%	
irAEs combined					0.157
Yes/No	2/34	5.6%/94.4%	3/12	20.0%/80.0%	
ICI duration (days)	155	70, 278	212	91, 617	
irAEs onset (days)	33	28, 92	84	63, 226	0.029

Note: ICI: immune checkpoint inhibitors; irAE: immune-related adverse events; Others: breast 5 cases, mesothelioma 2 cases, head and neck 1 case, uterine 1 case.

(53.3% vs. 16.7%, $p = 0.008$) and significant differences in cancer types, with more urology cancers in Grade 2 (46.7% vs. 5.6%, $p = 0.005$). The treatment lines and types of ICIs used are comparable. Combined therapies showed higher use of molecular agents in Grade 2 (20.0% vs. 2.8%). Previous thyroid diseases and the combination of irAEs were similar, but Grade 2 had a later onset of irAEs (median 84 vs. 33 days, $p = 0.029$).

Multivariable Analysis

Table 5 presents the multivariable analysis of factors affecting thyroid dysfunction. For hyperthyroidism, age was a significant factor, with an OR of 1.06 (95% CI: 1.01–1.13, $p = 0.019$), and the use of cytotoxic and molecular therapies compared to no therapy also significantly increased the risk (OR: 1.70, 95% CI: 1.08–2.75, $p = 0.022$). For hypothyroidism, the “Others” category (including five cases of breast cancer, two mesothelioma, one case of head and neck cancer, and one case of uterine cancer) significantly raised the risk with an OR of 3.86 (95% CI: 1.61–9.25, $p < 0.001$).

Table 5. Multivariable analysis of factors affecting thyroid irAE

	OR	95% CI	p value
Hypothyroidism			
Age	1.06	1.01, 1.13	0.019
Cytotoxic and molecular vs. none	1.70	1.08, 2.75	0.022
Hyperthyroidism			
Others vs. digestive, respiratory, and urology	3.86	1.61, 9.25	<0.001

Note: irAE: immune-related adverse events; OR: odds ratio; CI: confidence interval; vs.: versus.

Discussion

The findings of this retrospective cohort study highlight the significant impact of ICIs on thyroid function, emphasizing the clinical challenges and considerations required for managing irAEs. The observed incidence of 34.9% for thyroid irAEs among ICI-treated patients aligns with previously reported rates of 30% to 40% for any grade of thyroid irAEs.^{8,15} Hypothyroidism irAEs were more prevalent, affecting 21.6% of patients compared to 13.3% for hyperthyroidism. The higher incidence of hypothyroidism is consistent with existing literature, suggesting a predominance of destructive thyroiditis or hypothyroidism over hyperthyroidism in patients undergoing ICI therapy¹⁶. Age and ICI combination therapy were independent risk factors in the progression of Grade 2 hypothyroidism irAEs. Patients with breast cancer and mesothelioma showed an increased risk of Grade 2 hyperthyroidism irAEs compared to those with lung, digestive, and urology cancers. Notably, no patients reported thyroid irAEs of Grade 3 or higher, supporting the safety of ICI treatment across various cancer types.

Age appears to be a significant factor in the progression of Grade 2 hypothyroidism, particularly in the context of combination therapy. Studies have indicated that younger patients may respond more favorably to combination therapies involving levothyroxine and liothyronine than older adults.^{17,18} Older adults undergoing ICI treatment exhibit a heightened risk of irAEs compared to younger patients. This is associated with an upregulated inflammatory response gene signature in tissues prone to age-related increases in irAE risks, while tissues showing a decline in age-related irAE risks follow an inverse trend.¹⁹ This differential response may be due to variations in metabolic rates and comorbidities, which are more prevalent in older populations.²⁰ Furthermore, the risk of adverse effects, such as cardiac arrhythmias, increases with age, necessitating more cautious and tailored treatment approaches in older individuals.²¹ Therefore, while combination therapy can offer enhanced symptom control for some patients, its use must be carefully considered in the context of patient age to balance efficacy and safety.

Patients with breast cancer and mesothelioma undergoing ICI treatment are more likely to develop Grade 2 hyperthyroidism, likely due to the robust immune activation these therapies provoke. The heightened immune response in breast cancer, often compounded by combination therapies, and the unique immunological environment of mesothelioma contribute to this increased risk.^{22,23} Notably, both mesothelioma patients in the study were treated with nivolumab and ipilimumab. Effective management requires vigilant endocrine monitoring and interdisciplinary collaboration to balance cancer treatment with the control of thyroid irAEs. Early identification, patient education, and personalized care plans are essential to optimize treatment outcomes and maintain patient quality of life amid the complexities of irAEs.

This study also observed that a combination of other irAEs is more frequently seen in Grade 2 irAEs, underscoring the complexity of immune modulation in patients receiving immunotherapy. These patients may experience concurrent irAEs affecting multiple organs, such as the dermatologic, gastrointestinal, hepatic, and endocrine systems.²⁴ Thyroid irAEs can involve the activation of T and B lymphocytes, various cytokines, and other factors. Certain immunotherapeutic agents remove the suppressive effect on T cells, allowing them to regain their anti-tumor activity. Nevertheless, this immune activation can also damage normal tissues, resulting in cell death and the development of organ-specific

irAEs.^{4,25} This multiplicity of irAEs poses a significant challenge in clinical management, as treatment strategies must address the interplay between different adverse events while maintaining the efficacy of cancer therapy.²⁶ Comprehensive care plans and close interdisciplinary collaboration are essential for managing these patients effectively.

The onset time of irAEs and the duration of chemotherapy do not appear to have a straightforward relationship with the severity of irAEs, particularly between Grade 1 and Grade 2 events. This lack of correlation suggests that individual patient factors, such as genetic predisposition, immune system variability, and overall health status, likely influence the development of irAEs rather than just the timing or duration of treatment. As a result, it is challenging to predict the severity of irAEs based solely on these parameters. Instead of relying on the timing and duration of chemotherapy as predictors of irAE severity, clinicians should prioritize early detection and proactive management of irAEs through regular monitoring and patient education. As reported in previous studies, higher-grade irAEs are more likely to be associated with a treatment response.^{27,28} However, no statistically significant differences were found in the duration of ICI usage between Grade 1 and Grade 2. Patients showed a trend of longer ICI usage duration in both the hypothyroidism and hyperthyroidism groups.

This study had several limitations: First, it was carried out in a single medical center in Japan, introducing a potential selection bias in the conclusions. Second, although we analyzed medical records from the past ten years retrospectively, the sample size for several specific tumor types was small, limiting the robustness of our conclusions. Third, due to the data extraction methods and an insufficient follow-up period for recently treated patients, this study could not analyze the relationship between irAEs and overall patient survival.

Conclusion

In conclusion, this study provides valuable insights into the prevalence and risk factors of Grade 2 thyroid irAEs in the context of ICI therapy. Our findings highlight the importance of vigilant monitoring and a tailored approach to mitigating these adverse events, ultimately contributing to better patient care and optimized therapeutic outcomes in oncology.

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Authors' Contributions

Hao Chen contributed to the study design, data extraction, and drafting. T.Y., A.T., and Harrison Chu worked on data interpretation and the revision process. All authors read and approved the final manuscript.

Data Availability Statement

The datasets generated during and/or analyzed during the current study are available from the corresponding author upon reasonable request.

Ethical Statement

This study was approved by the Institutional Review Board of Kanto Rosai Hospital, and informed consent was obtained from all participants.

Conflicts of Interest

The authors report no conflicts of interest in this work.

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